

1 **California Anchovy Population Remains Low, 2012-2016**

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12

13 **Abstract**

14 Updated abundance estimates of the central subpopulation of northern anchovy
15 (*Engraulis mordax*) are developed from California Cooperative Oceanic Fisheries
16 Investigations (CalCOFI) data on egg and larval densities for 1951–2011, with new
17 estimates for 2012–2015. We followed the approach of MacCall et al. (2016; Fish. Res.
18 175:87–94) which corrected for a hyperstability bias due to nearshore concentration of
19 CalCOFI stations and the tendency of the anchovy population to contract into this area
20 when abundances are low. We corrected previous estimates which were based on
21 calibration using an erroneous absolute biomass value from the early 1980s, and
22 extended estimates up through 2015. Anchovy biomass remains below 100,000 metric
23 tons, at an average of 20,700 metric tons over the past 7 years. Although the most
24 recent 2016 CalCOFI data are not yet available, recent results from the Continuous
25 Underway Fish Egg Sampler (CUFES) do not indicate any substantial recovery of the
26 anchovy population to date.

27

28 **Introduction**

29 Northern anchovy is an important component of the forage fish community of the
30 California Current ecosystem (CCE). Anchovy are schooling coastal pelagic fish that
31 have undergone large oscillations in abundance for thousands of years, with periodicity
32 of ~60 y (Baumgartner et al. 1992; MacCall 1996; Field et al. 2009) which have been
33 linked to climate influences (Lehodey et al. 2006; Lindegren et al. 2013). Anchovy are a
34 relatively small and short-lived species (most <16 cm in length; most fishes <5 y in age;
35 Schwartzlose et al. 1999), with high fecundity and mortality, and are thought to do well

36 in colder waters associated with high coastal upwelling (Rykaczewski and Checkley
37 2008; Lindegren et al. 2013). There are historically three oceanic population centers for
38 anchovy along the Pacific coast of North America: a northern stock near the Columbia
39 River mouth, a central stock concentrated in the southern California Bight (SCB) and
40 Monterey Bay, and a southern stock off the Pacific coast of Baja California (Huppert
41 1980, Schwartzlose et al. 1999; Zwolinski et al. 2012).

42

43 Anchovy is an important prey resource for many upper trophic level predators in the
44 CCE (Szloboszlai et al. 2015), and supported historically significant fisheries in
45 California and Mexico (CDFG 2001). Anchovy stock assessments were conducted until
46 1995 (Jacobson et al. 1995); since then, only biomass estimates exist (MacCall et al.
47 2016). After a spike in estimated spawning biomass briefly exceeding a million metric
48 tons (MT) in 2005-2006, the population subsequently collapsed (MacCall et al. 2016).
49 The systemic causes of the recent decline in abundance are not clear, although one of
50 the proximal causes of the decline was the decrease in egg and larval survivorship
51 during the 2000s (Fissel et al. 2011, MacCall et al. 2016). The cause of that mortality is
52 not presently known, but intensified filter feeding cannibalism by the parents is a likely
53 possibility (MacCall 1990). The consequence was a severe reduction in the production
54 of recruitment-age fish. The decline in abundance happened faster than could be
55 explained by poor recruitment alone, indicating that the natural mortality rate of adults
56 also probably increased. An unknown but probably large portion of the remaining
57 remnant population thereafter consisted of conspicuous, concentrated, nearshore
58 shoals, where it has been vulnerable to predators and the fishery as well as appearing

59 paradoxically abundant to shore-based observers such as members of the public
60 (MacCall et al. 2016, Davison et al. in review).

61

62 Herein, we correct previous biomass estimates for the central subpopulation of northern
63 anchovy, which were based on calibration using an erroneous absolute biomass value
64 from the early 1980s. We also extend the biomass estimates to 2015 as additional data
65 have become available. We follow the MacCall et al. (2016) method which corrected for
66 hyperstability bias (Hilborn and Walters 1992) due to the nearshore concentration of
67 CalCOFI stations and the tendency of the anchovy population to contract into this area
68 when abundances are low (MacCall 1990).

69

70 **Methods**

71 Following the methods of MacCall et al. (2016), egg and larval sample densities from
72 core CalCOFI surveys (Fig. 1) for January and April were geo-spatially weighted,
73 summed to obtain total abundance, developed into a combined index of productivity,
74 and then calibrated to early 1980s absolute biomass estimates based on the Daily Egg
75 Production Method (DEPM). DEPM estimates were corrected here prior to calibration.
76 The erroneous biomass value for year 1982 (used in all previous assessments) was due
77 to the value in short tons estimated by Picquelle and Hewitt (1983) having been
78 reported as metric tons in a summary by Bindman (1986). Both egg and larval samples
79 were used for biomass estimates in 1951-1999 and 2012-2015, although larval samples
80 had to be dropped in 2000-2011 due to exceptionally low larvae to egg ratios (MacCall
81 et al. 2016; Fig. 2). The extended estimates included April and January data as was

82 available in 2012-2014. Only partial cruise track data were available in January 2014
83 due to ship engine malfunction. As of this analysis, January data were not yet available
84 for 2015. Precision of abundance estimates was calculated using a jack-knife procedure
85 which provided variance estimates for each of our two to four indices (depending on the
86 year and data available), January egg and larvae and April egg and larvae, and
87 subsequent approximation to produce a variance estimate for the combined index,
88 which tended to overestimate the variance (MacCall et al. 2016).

89

90 **Results**

91 Revised and extended anchovy biomass estimates with coefficients of variation are
92 presented in Appendix I. The anchovy biomass remains very low and probably at an
93 all-time low since CalCOFI sampling began in 1951. Although the abundance estimates
94 are imprecise at this low level, the biomass is almost certainly less than 100,000 mt.

95

96 Larvae to egg ratios decreased in the early 2000s, indicating poor survival. Post-2011,
97 the larvae to egg ratio returned to values within the range seen in 1951-1999 (Fig. 2).
98 Therefore, both egg and larval samples were utilized for updating biomass estimates for
99 2012 through 2015.

100

101 The extended time series (2012-2015) shows that stock remains low after a collapse
102 after 2005 (i.e., two orders of magnitude below the 2005 value). The coefficients of
103 variation of recent biomass estimates are high due to low numbers of positive stations,
104 etc., and therefore estimates for recent single years are imprecise and should not be

105 used individually for interpretation. In the past 7 years, annual estimates for anchovy
106 biomass had an equally-weighted average of 20,700 mt. In the past 4 years since the
107 last anchovy biomass update, estimated biomass averaged 24,300 mt.

108

109 **Discussion**

110 Although it was not possible to estimate spawning biomass precisely with available
111 data, the analysis clearly supports the conclusion that abundance of the northern
112 anchovy central subpopulation is at the lowest values since the beginning of CalCOFI.
113 Anchovy biomass remains below 100,000 metric tons, at a multi-year average of
114 20,000-25,000 metric tons. Predator responses and other trawl survey results also
115 support this.

116

117 Recent available predator data included unusual mortality events for California sea lions
118 in southern California in 2009-2010 (Melin et al. 2010, 2012). Declines in seabird
119 abundance at sea (Sydeman et al. 2015; Santora and Sydeman 2015) and reductions
120 of anchovy in seabird diets in both central and southern California were seen at least
121 through 2012 (e.g., Elliott et al. 2015); more updated data are not yet available. More
122 recently, poor breeding performance of brown pelicans off southern California (Henry
123 2015) and reductions in anchovy in sea lion diets in central California have been
124 observed up through 2014 (J. Thayer unpublished data).

125

126 Spring CalCOFI cruises sample pelagic nekton at night using a Nordic 264 rope trawl
127 (Davison et al. in review, Griffith 2008; Dotson et al. 2010). Rope trawl survey results

128 from 2010-2013 showed that anchovy were only captured inshore in the Southern
129 California Bight, near Pt. Conception, and off of Washington State. No anchovy at all
130 were collected off of central California, despite the fact that it was the region of greatest
131 effort (Davison et al. in review). The National Marine Fisheries Service (NMFS)
132 Rockfish Recruitment and Ecosystem Assessment Survey (RRS) also had very low
133 catches of age 0 and age 1+ anchovy in 2010-2013 (Sakuma 2015). In 2014-2015,
134 adult northern anchovy catches remained low in all areas, including inshore sampling
135 stations, as in prior years. Catches of larvae and pelagic juveniles, however, increased
136 in the southern California region in 2014, and in all regions of California in 2015
137 (southern, central and northern; Sakuma 2015).

138

139 While an increase of anchovy in the diet of both sea lions and rhinoceros auklets at Año
140 Nuevo Island was documented in 2015, these were exclusively age-0 anchovy (Beck et
141 al. 2015, J. Thayer unpublished data). It is still unknown if an increase of age-0 anchovy
142 in 2015 predator diet and also reflected in the 2015 RRS trawls resulted in any survival
143 of anchovy to age 1+. Although the most recent 2016 CalCOFI data are not yet
144 available, results from the Continuous Underway Fish Egg Sampler (CUFES) from
145 recent surveys indicate egg distribution nearshore in a small area, and very low peaks
146 of ~ 15 eggs/m². Thus, there has been no substantial recovery of the anchovy
147 population in 2016.

148

149 Anecdotal observations (mostly visual) suggested that anchovy were extremely
150 abundant. Davison et al. (in review) examined not only RRS and CalCOFI rope trawls,

151 but additional data from the CalCOFI ichthyoplankton time series and nearshore
152 SCOOS stations, and aerial surveys. He explored whether anchovy adults migrated
153 north of the study area, whether there was a large biomass of anchovies near shore, or
154 whether spawning was temporally missed in our analyses, yet found no evidence of any
155 of the above. Thus, we adhered to our previous methodology, and conclude with the
156 current updates/corrections that the 2009-2016 population crash is real and that the
157 remnant anchovy population contracted to extremely nearshore habitat where it has
158 appeared paradoxically abundant to observers.

159

160 Additional doubts that have been raised as to the validity of the anchovy biomass
161 estimate include the fact that fishery catch in 2015 surpassed the estimate of biomass
162 that year. Similar anomalies were observed in the early 1950s, but we now understand
163 better how they can be explained. First, since recent annual estimates of biomass are
164 highly uncertain, as mentioned previously, an average of recent years should be utilized
165 instead of any annual point estimate (such as 2015). Basically, such an anomaly can be
166 caused by a small calibration error and small bias in the biomass estimate due to
167 nearshore refuges from fisheries-independent surveys but not from fishers.

168 Furthermore, direct comparison of CalCOFI-based spawning biomass estimates with
169 fishery catches is misleading because it assumes they have similar age structure, which
170 is not necessarily the case. To the extent that the catch takes pre-spawning anchovies
171 in the fall and winter, the biomass available to the fishery could substantially exceed the
172 spawning biomass as of January-April. Also, at the high mortality rates (natural and

173 fishing combined) of anchovy, the catch could even exceed the annual average
174 standing biomass.

175

176 It remains that the core CalCOFI survey does not completely cover the range of the
177 central anchovy subpopulation. Davison et al. (in review) showed that neither northern
178 nor nearshore concentrations have substantially altered recent overall biomass
179 estimates. More could be done in the future, such as using the central California and
180 nearshore SCOOS station ichthyoplankton data along with the core CalCOFI region to
181 estimate biomass in 2016 (NOAA 2016). Additional effort to collaborate with Mexico is
182 needed to determine present and recent biomass in Mexican waters, potentially from
183 the IMECOCAL program (Investigaciones Mexicanas de la Corriente de California). At
184 present, however, all available data point to continued extraordinarily low numbers of
185 the central subpopulation of northern anchovy.

186

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191 available for analysis.

192

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315 *Figure 1. Locations of core southern California CalCOFI sampling stations (from*
316 *MacCall et al. 2016), also showing location of the nine nearshore SCCOOS stations*
317 *added in Fall 2004, but not included in our long-term timeseries due to standardization*
318 *of survey locations.*

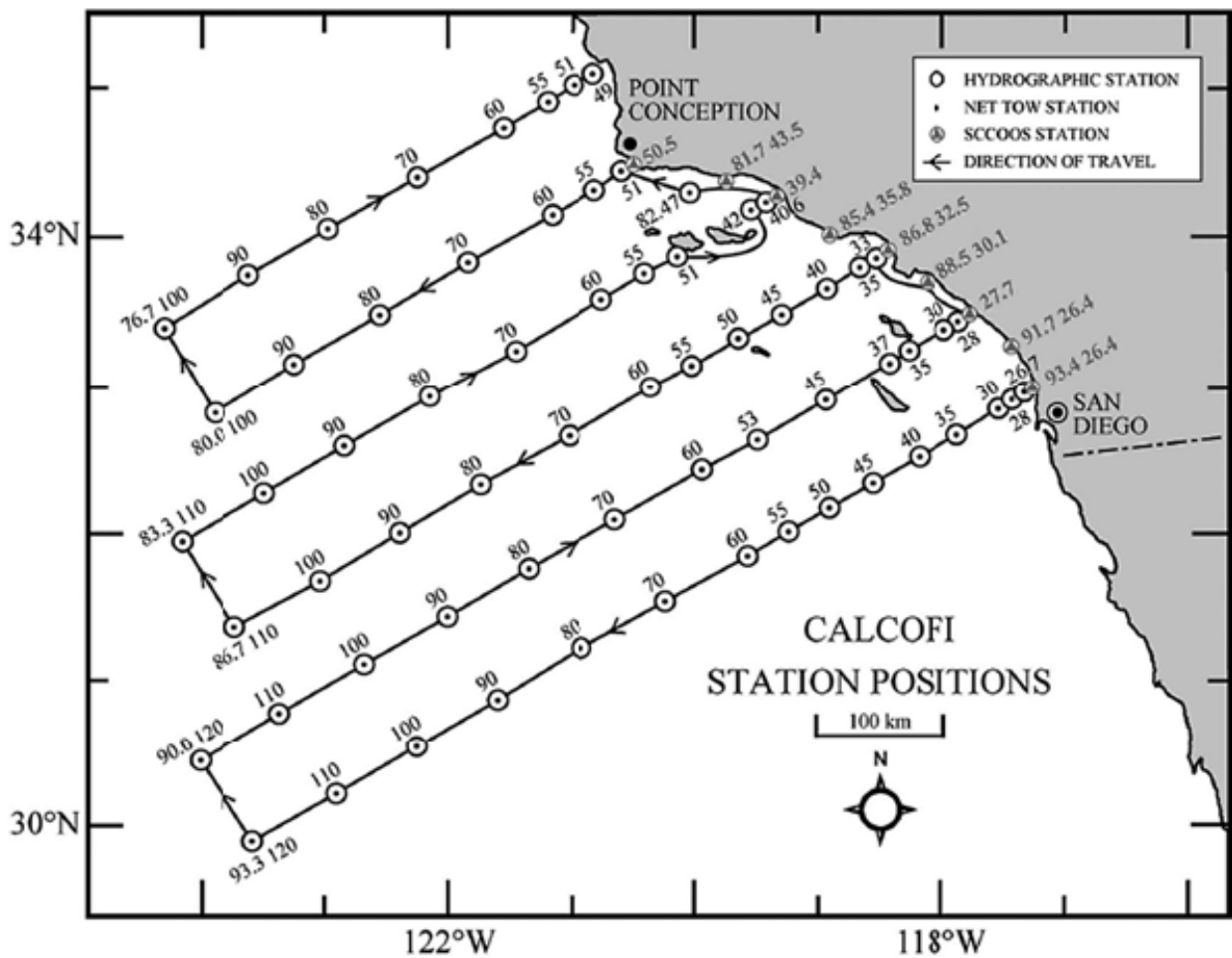
319 *Figure 2. Geometric mean of January and April larvae to egg ratio for northern anchovy*
320 *sampled by the CalCOFI program, 1951-2015. The ratio for 2013 was dropped as this*
321 *value was an outlier: $\log(65) = 1.8$. Lowess smoothing function (bandwidth 0.6) shown.*
322

323 *Figure 3. Anchovy biomass estimates on (a) numeric and (b) log scale. As extended*
324 *estimates have are based on few positive stations, CVs are imprecise. After removing*
325 *larvae from estimates in 2000-2011, adding larvae back into estimates for 2012-2015*
326 *(dotted lines) does not change the pattern, but does improve precision.*

327
328 *Figure 4. Preliminary CUFES survey results from spring 2016 showing anchovy egg*
329 *catch and distribution. Note the continued very nearshore distribution and low values.*
330 *Red and green survey tracks are from different vessels.*

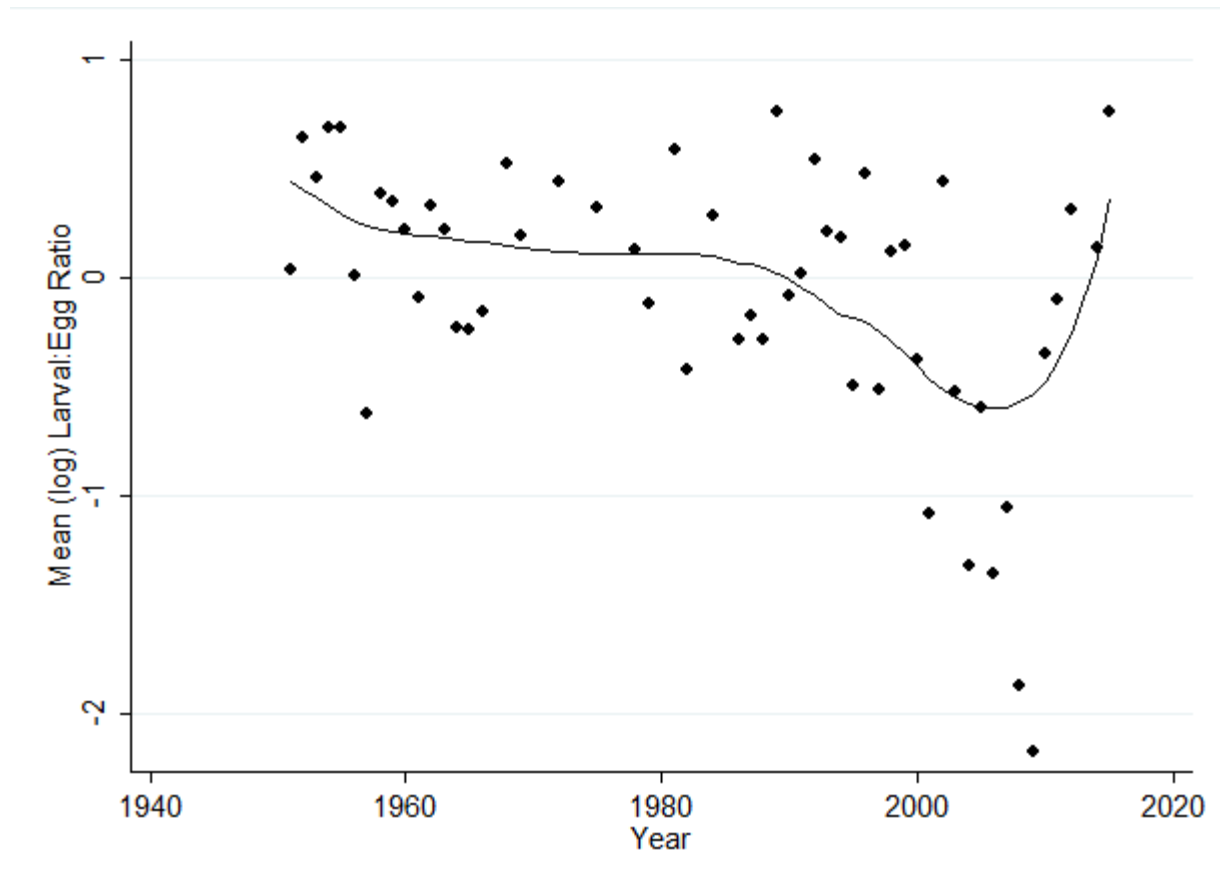
331 *(<https://swfsc.noaa.gov/textblock.aspx?Division=FRD&ParentMenuId=218&id=1340>)*

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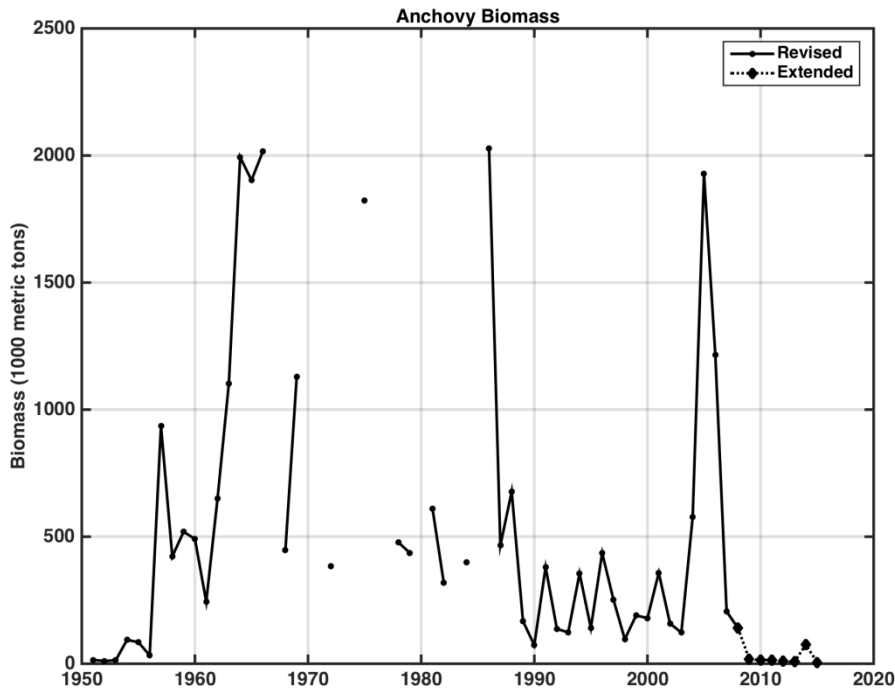


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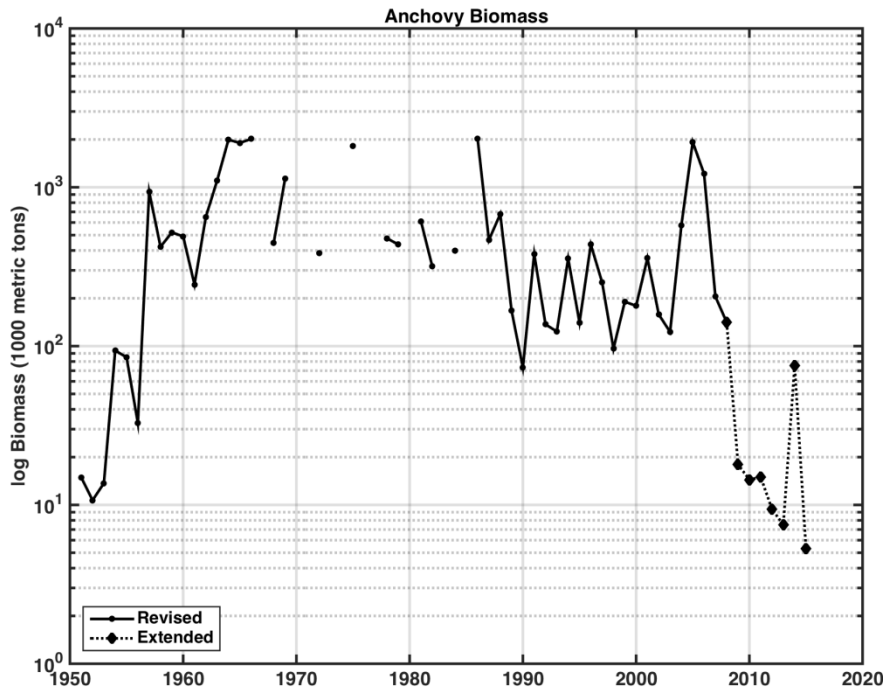
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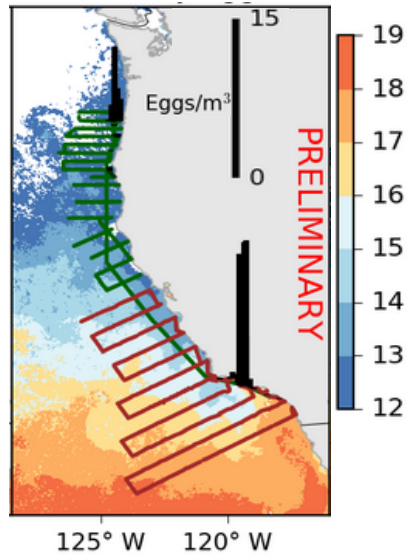


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353 **Appendix I.**

354 Table 1. Previously published (MacCall et al. 2016) and updated biomass values and
 355 coefficients of variation for the central subpopulation of northern anchovy. Blank cells
 356 indicate no data available. Note that both egg and larval abundances were used for
 357 estimating 1951-1999 and 2012-2015 (light gray), while larval abundances were
 358 dropped in 2000-2011 when larvae to egg ratios declined (dark gray).
 359

Year	Published Values		New Values	
	Biomass (MT)	total CV	Biomass (MT)	total CV
1951	15.5	1.51	14.9	1.51
1952	11.1	1.78	10.7	1.78
1953	14.3	1.57	13.7	1.57
1954	97.5	0.62	93.8	0.61
1955	88.3	0.65	85.0	0.64
1956	34.0	1.02	32.8	1.02
1957	972.3	0.41	936.0	0.40
1958	438.3	0.32	422.0	0.31
1959	539.6	0.29	519.4	0.28
1960	510.0	0.30	491.0	0.29
1961	253.3	0.40	243.8	0.39
1962	675.2	0.27	650.0	0.26
1963	1145.4	0.23	1102.7	0.21
1964	2070.9	0.20	1993.7	0.18
1965	1976.3	0.20	1902.6	0.18
1966	2093.6	0.20	2015.5	0.18
1967				
1968	465.1	0.57	447.8	0.56
1969	1173.8	0.23	1130.1	0.21
1970				
1971				
1972	399.2	0.33	384.3	0.32
1973				
1974				
1975	1892.7	0.31	1822.1	0.30
1976				
1977				
1978	495.5	0.30	477.0	0.29
1979	453.1	0.31	436.2	0.30
1980				
1981	634.5	0.28	610.9	0.26
1982	330.5	0.67	318.2	0.66
1983				

Year	Published Values		New Values	
	Biomass (MT)	total CV	Biomass (MT)	total CV
1984	415.5	0.33	400.0	0.31
1985				
1986	2106.6	0.30	2028.0	0.28
1987	483.4	0.56	465.4	0.55
1988	703.9	0.27	677.6	0.25
1989	173.9	0.47	167.4	0.46
1990	76.0	1.36	73.2	1.36
1991	394.8	0.61	380.1	0.61
1992	142.2	0.52	136.9	0.51
1993	128.4	0.54	123.6	0.54
1994	369.4	0.34	355.6	0.33
1995	146.2	0.51	140.7	0.50
1996	452.6	0.31	435.7	0.30
1997	261.4	0.39	251.7	0.39
1998	100.0	0.61	96.3	0.60
1999	197.6	0.45	190.3	0.44
2000	186.2	0.88	179.3	0.87
2001	371.7	0.63	357.9	0.63
2002	164.3	0.93	158.1	0.93
2003	127.6	1.06	122.8	1.05
2004	599.6	0.50	577.2	0.50
2005	2002.5	0.30	1927.7	0.29
2006	1263.6	0.68	1216.4	0.68
2007	213.2	0.82	205.2	0.82
2008	146.6	0.99	141.1	0.98
2009	18.7	5.47	18.0	5.47
2010	15.0	3.06	14.4	3.06
2011	15.6	3.00	15.0	3.00
2012			9.4	0.12
2013			7.5	0.50
2014			75.3	1.30
2015			5.3	1.23